

# Office of Budget, Finance, and Award Management

## Updates to the Business & Operations Advisory Committee

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# Spring 2010 Topics

- NSF FY 2011 Budget Request
- American Recovery and Reinvestment Act
- Policy Updates
- Customer Satisfaction Survey
- Financial Statement Audit Issues



# FY 2011: Stewardship/AOAM Initiatives

## **Acquisition Workforce: \$4 million, 11 FTE**

- Improve the capacity, capabilities, and effectiveness of the acquisition workforce.
- Government-wide initiative led by OMB/Office of Federal Procurement Policy (OFPP).
- Key focus for NSF: pre-solicitation phase of major acquisitions.

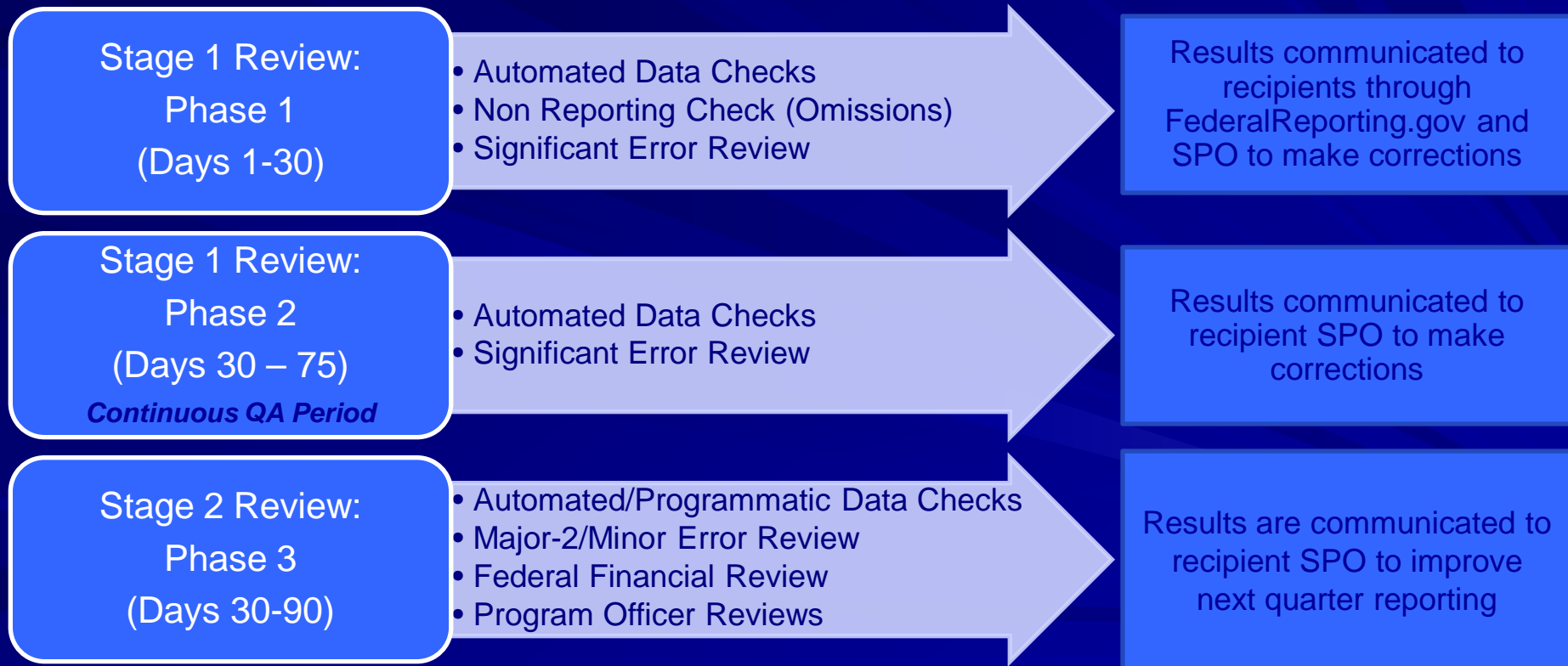
## **Assessment and Evaluation Capabilities: \$1 million, 4 FTE**

- Establish a centralized NSF capability for assessment and evaluation.
- Government-wide initiative to strengthen program evaluation, led by OMB and Council of Economic Advisors.
- Major Driver: new approaches emerging from Science of Science and Innovation Policy (SciSIP).



# ARRA – RECIPIENT REPORTING

**Each NSF award that includes ARRA funding requires the recipient to submit quarterly reports to a central Federal website.**



# ARRA – RECIPIENT REPORTING

## Key Quarterly Results:

### Quarter Ending 9/30/2009

■ Recipient Reporting Compliance rate was 98%

• Total of 107 out of 4,502 did not report

■ No uncorrected significant errors and 100% accuracy rate

■ Responded to six data calls from OMB and Recovery Board

■ 2,491.51 jobs

### Quarter Ending 12/31/2009

■ Recipient Reporting Compliance rate was 99.7%

• Total of 14 out of 4,535 did not report

• 7 two time non-reporters

■ One uncorrected significant error and 99.9% accuracy rate

■ Responded to nine data calls from OMB and Recovery Board

■ 2,913.87 jobs

### Quarter Ending 3/31/2010

■ Recipient Reporting compliance rate was 99.5%

• Total of 25 out of 4,626 did not report

• Only 2 two-time non reporters

■ Significant error and data call info. will be available later in the quarter

■ 3,628.93 jobs





# ARRA – RECIPIENT REPORTING

## *Impact on NSF and Grantees*

### ■ Increased Oversight by Multiple Parties

- ❖ Presidential Memo dated April 6, 2010
- ❖ Government Accountability Office reviews – assess reliability of data and information systems that produce the data
- ❖ OIG audit of Agency Data Quality Review Process – review effectiveness and implementation of Agency Data Quality Review Process for ensuring quality of recipient data
- ❖ Five OMB guidance updates in less than a year; with latest one issued on March 22<sup>nd</sup>
- ❖ Timing of distributing guidance to stakeholders

### ■ Associated Benefits

- ❖ Improves collaboration with grantees due to continuous correspondence, interaction and outreach
- ❖ Provides informative feedback to NSF on challenges faced by grantees
- ❖ Allows NSF to highlight its existing capabilities (e.g., research.gov, NSF websites, etc.) in providing transparent data to the public



# Obama Administration

## Key Themes for Government-wide Grants Policy

### ❖ Transparency & Accountability

- Increased engagement by OMB and Congress
- Open Government Initiatives
- FFATA
- ARRA
- Grants Executive Board, Grants Policy Committee & GMLoB coordination (IT/Policy: Integration)
- Professionalizing Grants management series (GPC Training & Certification Workgroup)
- RAT Board Report on Contracts and Grants Workforce – Issued March 2010

### ❖ Stakeholder involvement

- FDP, NGP (AGA Partnership)



# BFA Customer Satisfaction Survey: 2009

## ❖ *Utility of Survey*

- ✓ Indicates quality of our communications & service to NSF staff
- ✓ Validates/focuses our priorities to strengthen operations

## ❖ *Communications*

- ✓ Overall score was 3.7 (unchanged from 2008)  
65% satisfied, 7% dissatisfied
- ✓ Division scores range from 3.3 to 3.9

## ❖ *Services*

- ✓ Overall score was 3.7 (unchanged from 2008)
- ✓ 66% satisfied, 5% dissatisfied
- ✓ Division scores range from 3.2 to 3.9

## ❖ *Best Performance:* Assistance Awards (4.0) and Cooperative Agreements (3.9) that benefited from DGA portfolio-focused realignment

## ❖ *Challenges:* Finance System (3.5), Contracts (3.1), Program Management Data (3.2)

### Scoring:

- 1 = Strongly dissatisfied**
- 2 = Dissatisfied**
- 3 = Neutral**
- 4 = Satisfied**
- 5 = Strongly satisfied**





# Financial Statement Audit

## FY 2010:

- Audit entrance was held on March 9<sup>th</sup>
- Agreed on list of documentation to meet audit requirements

## FY 2009:

- Issued updated Corrective Action Plan (CAP) for Significant Deficiency on Contract Monitoring of Cost Reimbursement Contracts to Office of Inspector General (OIG)
  - OIG agreed with actions on nine of ten recommendations in Plan; Mgmt. is working closely with OIG to reach agreement on remaining recommendation
  - All items in CAP with a due date of March 31<sup>st</sup> were completed
  - ❖ Provided CAP for Mgmt. Letter Report lower level findings to OIG



