



Office of Budget, Finance, and Award Management

Updates to the Business and Operations Advisory Committee

Marty Rubenstein
NSF CFO and BFA Director
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NATIONAL SCIENCE FOUNDATION

FY 2011 Appropriations Process

(Dollars in Millions)

	FY 2011 Request	FY 2010 Enacted	FY 2011 Estimate	Change Over FY 2011 Request		Change Over FY 2010 Enacted	
				Amt	Pct	Amt	Pct
R&RA	\$5,965	\$5,564	\$5,510	-\$455	-8%	-\$54	-1%
EHR	\$892	\$873	\$861	-\$31	-3%	-\$12	-1%
MREFC	\$165	\$117	\$117	-\$48	-29%	\$0	0%
AOAM	\$329	\$300	\$299	-\$30	-9%	-\$1	0%
NSB	\$5	\$5	\$5	\$0	-6%	\$0	0%
OIG	\$14	\$14	\$14	\$0	-3%	\$0	0%
NSF	\$7,370	\$6,873	\$6,806	-\$565	-8%	-\$67	-1%

Totals may not add due to rounding



FY 2012 Request

(Dollars in Millions)

	FY 2010 Enacted	FY 2011 Estimate	FY 2012 Request	Change Over FY 2011 Estimate		Change Over FY 2010 Enacted	
				Amt	Pct	Amt	Pct
R&RA	\$5,564	\$5,510	\$6,254	\$744	13%	\$690	12%
EHR	\$873	\$861	\$911	\$50	6%	\$38	4%
MREFC	\$117	\$117	\$225	\$108	92%	\$107	92%
AOAM	\$300	\$299	\$358	\$58	19%	\$58	19%
NSB	\$5	\$5	\$5	\$0	7%	\$0	7%
OIG	\$14	\$14	\$15	\$1	7%	\$1	7%
NSF	\$6,873	\$6,806	\$7,767	\$961	14%	\$894	13%

Totals may not add due to rounding



NSF Prep for Funding Hiatus (Shutdown)

- BFA/OIRM jointly responsibility for Shutdown planning and preparation in close coordination with OGC and OD
- Flurry of activity leading up to the March 18th and also the April 8th shutdown dates
- NSF policy documents needed to comport with government-wide policy, which was evolving and politically sensitive
 - Agency Shutdown Plan
 - Guidance to staff, contractors, awardees (internal and external communications)
 - Evaluate impact of shutdown policies on NSF grantees, facilities, and contractors (McMurdo)
 - Multiple teleconferences with OMB on issues government-wide policies, i.e. payment processing, essential vs. non-essential activities
 - Designation of exempt activities/employees here at NSF



Shutdown (Cont.)

- Issued furlough notice to staff and on-site contractors; determined policies i.e. use of NSF systems, blackberries, building access
- Implemented plans on Friday, April 8th COB
- Shutdown averted Friday, April 8th shortly before midnight





New Director- New Directions

New Initiatives

- Inspire
- Idea Share

Focus on Communication

- Communication Task Force
- PII and Sensitive Information



ASK EARLY – ASK OFTEN



BFA Internal Improvements Since Last Meeting (handout)

- **Research.gov Dashboard** - provides timely, well-defined, and reliable information about NSF awards in easy-to-comprehend formats. It brings together administrative and financial data that had previously only been accessible by navigating 4 separate NSF IT systems (e.g., Financial Accounting System, Awards System). The goal is to strengthen NSF's capacity and sophistication in post-award grants management.
- **Project Reporting Service for RPPR** - Planned service will implement the new government-wide Research Performance Progress Reporting format and will replace all project reporting capabilities currently in FastLane. The two primary goals are to reduce PI burden through innovative mechanisms for pre-population and more structured collection of data for enhanced NSF use for portfolio and impact analysis.
- **Stewardship Collaborative** - This forum represents a new level of collaboration between the OIG and NSF in monitoring and improving the audit resolution process (specifically external audits), and addressing emerging and outstanding issues. The anticipated outcome is the achievement of our shared mission of proper stewardship of the taxpayers' investment in science, engineering, and education.



Internal Improvements

Updates Since Last Meeting (handout)

- **Grant-by-Grant payment method for institutions** - NSF is transitioning from a grant pooling to a grant-by-grant payment process. Grant-by-Grant requires that grantees list their cash needs on an award by award basis, therefore, eliminating the need for quarterly expenditure reporting. NSF has conducted grantee outreach about this. Recent activities include a meeting with the Council on Government Relations and a presentation at the National Council of University Research Administrators Conference in March. Outreach informed NSF that we should consider institution fiscal year when rolling out changes and provide the ability for institutions to submit a file upload for cash requests instead of a manual entry. Summary of Proposal and Award Policy and Procedures Guide updates will be submitted to the Office of Management and Budget.
- **FY 2011 Financial Statement Audit Status** - Audit entrance conference was held on May 2nd with Clifton Gunderson (CG) and Office of Inspector General (OIG), two months later than the date the entrance conference is usually held. Management has expressed some concerns about the impact of the delay on the audit schedule and information requirements. However, we are working with CG and OIG to meet the audit requirements.
- **American Recovery & Reinvestment Act (ARRA) Update** - Recipient Reporting for the Quarter Ending March 31, 2011 was completed with a 99.9% compliance rate (only 6 out of 4,621 awards did not report). BFA will continue, through FY 2012, to monitor recipient “burn rate” (ARRA Term & Condition requiring allowable expenditures within 12 months). Currently, no awards risk termination on these grounds.

